

# GOVERNMENT CORPORATION INFORMATION SHEET (GCIS)

FOR THE YEAR 2015

## GENERAL INSTRUCTIONS:

1. FOR GOVERNMENT CORPORATION: THIS GCIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF RELEASE OF MEMORANDUM CIRCULAR NO. 3 AND SUBSEQUENTLY THEREAFTER, 30 DAYS FROM THE DATE OF THE STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE GOVERNMENT CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GCIS TOGETHER WITH AN AFFIDAVIT OF NON-HOLDING OF MEETING WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE SCHEDULED ANNUAL MEETING (AS PROVIDED IN THE BY-LAWS). HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GCIS SHALL BE SUBMITTED/FILED.
3. THIS GCIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION.
4. THE GCG SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE GOVERNMENT CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GCIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION. THE PAGE OF THE GCIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT A SOFT COPY IN EXCEL FORMAT SAVED IN A NON-REWRITABLE CD THE GCIS TO THE GCG SECRETARIAT, ROOM 479 MABINI HALL, MALACANANG COMPOUND.
6. **ONLY THE GCIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GCIS MAY BE USED AS EVIDENCE AGAINST THE GOVERNMENT CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

|   |  |   |
|---|--|---|
| <b>GOVERNMENT CORPORATION NAME:</b>   |  | <b>DATE CREATED:</b>  |
| METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM                                   |  | 19-Jun-72   |
| <b>SECONDARY NAME, IF ANY.</b> N/A  |  | <b>FISCAL YEAR END:</b>                                       |
| <b>CHARTER, IF ANY.</b> RA 6234 as Amended                                    |  | <b>CORPORATE TAX IDENTIFICATION NUMBER (TIN)</b> 000719756000 |
| <b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> 2nd & 4th Thursdays of the month   |  | <b>URL ADDRESS:</b> mwss.gov.ph                               |
| <b>ACTUAL DATE OF ANNUAL MEETING:</b> N/A                                     |  | <b>EMAIL ADDRESS:</b> info@mwss.gov.ph                        |
| <b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> Katipunan Road, Balara, Quezon City |  | <b>FAX NUMBER:</b> 921-2887                                   |
| <b>COMPLETE BUSINESS ADDRESS:</b> Katipunan Road, Balara, Quezon City         |  | <b>TELEPHONE NUMBER(S):</b> 922-3757;9222969                  |
| <b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> COA                |  | <b>GEOGRAPHICAL CODE:</b>                                     |
| <b>ATTACHED AGENCY (if applicable):</b>                                       |  |   |
| <b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b>                |  |   |
| Distirbution of Potable Water thru private Concessionaires                    |  |   |

## ===== INTERCOMPANY AFFILIATIONS =====

| PARENT COMPANY       | ADDRESS |
|----------------------|---------|
| N/A                  |         |
| SUBSIDIARY/AFFILIATE | ADDRESS |
| N/A                  |         |
|                      |         |
|                      |         |
|                      |         |
|                      |         |
|                      |         |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

|  |                      |                  |                  |  |  |                |                |
|--|----------------------|------------------|------------------|--|--|----------------|----------------|
| GOVERNMENT CORPORATION NAME: METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM |                      |                  |                  |  |  |                |                |
| CAPITAL STRUCTURE  |                      |                  |                  |  |  |                |                |
| AUTHORIZED CAPITAL STOCK, if applicable                                  |                      |                  |                  |  |  |                |                |
|  |                      | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE                               | AMOUNT (Php)<br>(No. of shares X Par/Stated Value) |                |                |
|  |                      | Common           | 80,000,000       | 100.00   | 8,000,000,000.00                                   |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
| TOTAL  |                      |                  | 80,000,000       | TOTAL P  | 8,000,000,000.00                                   |                |                |
| SUBSCRIBED CAPITAL, if applicable  |                      |                  |                  |  |  |                |                |
| FILIPINO   | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE                                   | AMOUNT (Php)   | % OF OWNERSHIP |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
| TOTAL  |                      |                  |                  | TOTAL  | TOTAL P  |                |                |
| PAID-UP CAPITAL, if applicable   |                      |                  |                  |  |  |                |                |
| FILIPINO   | NO. OF STOCK-        | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE                               | AMOUNT (Php)                                       | % OF OWNERSHIP |                |
|  |                      | Common           | 60,954,868       | 100.00   | 6,095,486,783.00                                   |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
|  |                      |                  |                  |  |  |                |                |
| TOTAL  |                      |                  | 60,954,868       | TOTAL P  | 6,095,486,783.00                                   |                |                |

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# GOVERNMENT CORPORATION INFORMATION SHEET

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## METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM

### DIRECTORS / OFFICERS

| NAME, NATIONALITY AND<br>CURRENT RESIDENTIAL ADDRESS  | PRESIDENTIAL<br>APPOINTEE | BOARD | STOCK<br>HOLDER | EX-Officio         | EXEC.<br>COMM.       | TAX IDENTIFICATION NO.<br>(TIN) | COMPENSATION<br>PACKAGE/YR   | DESIGNATION TO OTHER<br>BOARDS OF<br>AFFILIATES/SUBSIDIARIES |
|---|---------------------------|-------|-----------------|--------------------|----------------------|---------------------------------|--|--|
| 1. GERARDO A.I. ESQUIVEL<br>#7 Naples St. Country Villas, Subd.<br>Capitol Hills, Diliman Q.C.  | Y                         | C     | N               |                    | G/C<br>E/C           | 159-455-264-000                 | per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P540,000.00** |  |
| 2. BENJAMIN J. YAMBAO<br>#12 Gladiola St. Tahanan Village, Paranaque<br>City  | Y                         | M     | N               |                    | A/C<br>CM/M<br>G/M   | 119-041-0045                    | per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P420,000.00** |  |
| 3. EMMANUEL L. CAPARAS<br>Malate, Manila  | Y                         | M     | N               |                    | GC/M<br>HR/M<br>A/M  | 116-283-705                     | per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P420,000.00** |  |
| 4. GCC RAOUL C. CREENCIA<br>#9 Cypress Place Ferndale Subd.<br>Sampaguita Q.C.<br><br>IRENEO M. GALICIA<br>227 Apitong St. Ayala Alabang<br>Muntinglupa, City | Y                         | M     | N               | GCC Ex-<br>Officio | CM/C<br>E/M          | 137-944-404                     | per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P420,000.00** |  |
| 5. MA. CECILIA G. SORIANO, PhD.<br># Dagohoy St. Ayala Heights, Q.C.  | Y                         | M     | N               |                    | HR/C<br>CM/M<br>A/M  | 124-137-616                     | per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P420,000.00** |  |
| 6. JOSE RAMON T. VILLARIN<br>Jesuit Res. Ateneo de Manila Univ. Loyola<br>Heights Q.C.  | Y                         | M     | N               |                    | HR/M                 | 237-436-159                     | per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P420,000.00** |  |
| 7. HELENA AGNES S. VALDERRAMA, Ph.D<br>Cesar E.A. Virata School of Business<br>University of the Phil. Diliman  | Y                         | M     | N               |                    | ARMC<br>CM/M<br>EC/M | 110-837-467                     | Per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P420,000.00** |  |

|  |   |   |   |  |               |             |  |  |
|--|---|---|---|--|---------------|-------------|--|--|
| 8. OLIVIA la O' CASTILLO, Ph.D<br>6C, Cluster C, McKinley Hill Garden Villas<br>Upper McKinley Road, Taguig City | Y | M | N |  | HR/M<br>ARM/M | 122-551-293 | Per Diem per EO 24<br>P576,000.00*<br>Reimbursables<br>P420,000.00** |  |
|--|---|---|---|--|---------------|-------------|--|--|

INSTRUCTIONS:

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
FOR PRESIDENTIAL APPOINTEE COLUMN, PUT "Y" IF A PRESIDENTIAL APPOINTEE "N" IF NOT.  
FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,  
SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION  
AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER. "CM" CONCESSION MONITORING. "G" GOVERNANCE

\* Per EO 24, Maximum Per diem per year for Reg/Spl - P360,000.00, Committee - P216,000.00

\*\* Reimbursables (Per BR No. 2011-110 dated 12/22/11) Chairman P45,000.00/mo., Member P35,000.00/mo.

## GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

**GOVERNMENT CORPORATION NAME: METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM**

| BOARD COMMITTEES       | FUNCTION   | COMPOSITION  |
|------------------------|--|--|
| A. Executive Committee | 1. Filing vacancies in the Board or in the Executive Committee   | Gerardo A.I. Esquivel  |
|                        | 2. Amendment or repeal of By-Laws or the adoption of new By-Laws   | GCC Raoul C. Creencia<br>(until 10/5/15)                                 |
|                        | 3. Amendment or repeal of any resolution of the Board which by its express terms cannot be amended or subject to repeal.   | GCC Ireneo M. Gaicia<br>(effective 10/6/15)                              |
|                        | 4. Distribution of cash dividends;   | Gerardo A.I. Esquivel  |
|                        | 5. Exercise of powers delegated by the Board exclusively to other committees   |  |
| B. Audit & Risk Mgt.   | 1. Overseeing, monitoring and evaluating the adequacy and effectiveness of the GOCC's internal control system, external auditors, and coordinate with COA  | Helena Agnes S. Valderrama<br>Benjamin J. Yambao<br>Olivia la'O Castillo |
|                        | 2. Reviewing and approving audit scope and frequency, the annual internal audit plan, quarterly, semi-annual and annual financial statements before submission to the Board, focusing on changes in accounting policies and practices, major judgemental areas, significant adjustments resulting from the audit, going concern assumptions, compliance with accounting standards and compliance with tax, legal, regulatory and COA requirements. |  |
|                        | 3. Receiving and reviewing reports of internal and external auditors and regulatory agencies, and ensuring that Mgt. is taking appropriate corrective actions, in a timely manner in addressing control and compliance functions with regulatory agencies  |  |
|                        | 4. Ensuring that internal auditors have free and full access to all the GOCC's records, properties and personnel relevant to and required by its function and that the internal audit activity shall be free from interference in determining its scope, performing its work and communicating its results; and  |  |
|                        | 5. Developing a transparent financial management system that will ensure the integrity of internal control activities throughout the GOCC through a procedures and policies handbook that will be used by the entire organization.   |  |
|                        | 6. Performing oversight risk mgt. functions specifically in the areas of managing credit, market, liquidity, operational, legal, reputational and other risks of the GOCC, and crisis mgt. which shall include receiving from Senior Mgt. periodic information on risk exposures and risk mgt. activities.   |  |
|                        | 7. Developing the Risk Mgt. Policy of the GOCC, ensuring compliance with the same and ensure that the risk mgt. process and compliance are embedded throughout the operations of the GOCC, especially at the Board and Mgt. level; and   |  |

|                          |  |  |
|--------------------------|--|--|
|                          | 8. Providing quarterly reporting and updating the Board on key risk mgt. issues as well as ad hoc reporting and evaluation on investment proposals.  |  |
| C. Governance            | <p>1. Overseeing the periodic performance evaluation of the Board and its committees and Mgt.; and also conducting an annual self-evaluation of their performance;</p> <p>2. Recommending to the Board assignment to Board Committees</p> <p>3. Recommending the manner by which the Board's performance may be evaluated and proposing an objective performance criteria to be approved by the Board.</p> | Gerardo A.I. Esquivel<br>Benjamin J. Yambao  |
| D. Concession Monitoring | <p>1. Monitor the activities of the two concessionaires, thru the RO</p> <p>2. Deliberate on and review matters endorsed by the RO relative to the Concessionaires' performance of their obligations under the CA</p>  | GCC Raoul C. Creencia<br>(until 10/5/15) GCC<br>Ireneo M. Galicia<br>(effective 10/6/15)<br>Benjamin J. Yambao<br>Ma. Cecilia G. Soriano,<br>PhD. Helena<br>Agnes, S. Valderra,<br>Ph.D. |
| E. Human Resource        | 1. The committee involves personnel administration, including the design of a personnel program to cover job analysis, the recruitment, placement and training of employees, evaluation of work performance, transfer, promotion, separation of personnel, wage and salary administration, employees benefits and services and grievance.  | Ma. Cecilia G. Soriano,<br>PhD.<br>Jose Ramon T. Villarin,<br>SJ. Olivia la'O<br>Castillo  |

**GOVERNMENT CORPORATION INFORMATION SHEET**

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GOVERNMENT CORPORATION NAME: METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM

| LIST OF AFFILIATES/SUBSIDIARIES         | DESCRIPTION | NATURE/ EXTENT OF INVESTMENT |
|---|-------------|------------------------------|
| NOT APPLICABLE                          |             |                              |
| NOTE: USE ADDITIONAL SHEET IF NECESSARY |             |                              |

GOVERNMENT CORPORATION INFORMATION SHEET

| ===== PLEASE PRINT LEGIBLY =====   |                   |               |                 |                        |  |   |
|--|-------------------|---------------|-----------------|------------------------|--|---|
| GOVERNMENT CORPORATION NAME: METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM   |                   |               |                 |                        |  |   |
| TOTAL NUMBER OF STOCKHOLDERS:  |                   |               |                 |                        |  |   |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P58,463,005,966 |                   |               |                 |                        |  |   |
| STOCKHOLDER'S INFORMATION  |                   |               |                 |                        |  |   |
| NAME, NATIONALITY, IF APPLICABLE<br>AND<br>CURRENT ADDRESS                 | SHARES SUBSCRIBED |               |                 |                        | AMOUNT PAID<br>(PhP), IF<br>APPLICABLE | TAX IDENTIFICATION NO.<br>(TIN) FOR FILIPINOS |
|  | TYPE              | NUMBER        | AMOUNT<br>(PhP) | % OF<br>OWNER-<br>SHIP |  |   |
| 1.<br><br>National Government  |                   | 60,954,867.84 | 6,095,486,784   | 100%                   | 6,095,486,784                          |   |
| 2.   |                   |               |                 |                        |  |   |
| 3.   |                   |               |                 |                        |  |   |
| 4.   |                   |               |                 |                        |  |   |
| 5.   |                   |               |                 |                        |  |   |
| 6.   |                   |               |                 |                        |  |   |
| 7.   |                   |               |                 |                        |  |   |
|  | TOTAL             |               |                 |                        |  |   |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL   |                   |               | 6,095,486,784   |                        |  |   |
| TOTAL AMOUNT OF PAID-UP CAPITAL  |                   |               |                 |                        | 6,095,486,784                          |   |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



# GOVERNMENT CORPORATION INFORMATION SHEET

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| CORPORATE NAME:  |                   |        |                 |                        |                      |                                 |
|--|-------------------|--------|-----------------|------------------------|----------------------|---------------------------------|
| TOTAL NUMBER OF STOCKHOLDERS:  |                   |        |                 |                        |                      |                                 |
| TOTAL ASSETS BASED ON LATEST AUDITED FS:                                     |                   |        |                 |                        |                      |                                 |
| STOCKHOLDER'S INFORMATION  |                   |        |                 |                        |                      |                                 |
| NAME, NATIONALITY, IF APPLICABLE<br>AND<br>CURRENT ADDRESS                   | SHARES SUBSCRIBED |        |                 |                        | AMOUNT PAID<br>(PhP) | TAX IDENTIFICATION NO.<br>(TIN) |
|  | TYPE              | NUMBER | AMOUNT<br>(PhP) | % OF<br>OWNER-<br>SHIP |                      |                                 |
| 8.   |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 9.   |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 10.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 11.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 12.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 13.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 14.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL   |                   |        |                 |                        |                      |                                 |
| TOTAL AMOUNT OF PAID-UP CAPITAL  |                   |        |                 |                        |                      |                                 |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |

# GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME:  |                   |        |                 |                        |                      |                                 |
|--|-------------------|--------|-----------------|------------------------|----------------------|---------------------------------|
| TOTAL NUMBER OF STOCKHOLDERS:  |                   |        |                 |                        |                      |                                 |
| TOTAL ASSETS BASED ON LATEST AUDITED FS:                                     |                   |        |                 |                        |                      |                                 |
| STOCKHOLDER'S INFORMATION  |                   |        |                 |                        |                      |                                 |
| NAME, NATIONALITY AND<br>CURRENT RESIDENTIAL ADDRESS                         | SHARES SUBSCRIBED |        |                 |                        | AMOUNT PAID<br>(PhP) | TAX IDENTIFICATION NO.<br>(TIN) |
|  | TYPE              | NUMBER | AMOUNT<br>(PhP) | % OF<br>OWNER-<br>SHIP |                      |                                 |
| 15.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 16.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 17.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 18.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 19.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 20.  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| 21. OTHERS (Indicate the number of the<br>remaining stockholders)            |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  |                   |        |                 |                        |                      |                                 |
|  | TOTAL             |        |                 |                        |                      |                                 |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL   |                   |        |                 |                        |                      |                                 |
| TOTAL AMOUNT OF PAID-UP CAPITAL  |                   |        |                 |                        |                      |                                 |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS |                   |        |                 |                        |                      |                                 |

# GOVERNMENT CORPORATION INFORMATION SHEET

| ===== PLEASE PRINT LEGIBLY =====   |                              |   |   |
|--|------------------------------|---|---|
| <b>GOVERNMENT CORPORATION NAME: METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM</b>                      |                              |   |   |
| <b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>                                       |                              | <b>AMOUNT (Php)</b>                           | <b>DATE OF BOARD RESOLUTION</b>               |
| 1.1 STOCKS   |                              |   |   |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations, <i>IF APPLICABLE</i> )                   |                              |   |   |
| 1.3 LOANS/ CREDITS/ ADVANCES   |                              |   |   |
| 1.4 GOVERNMENT TREASURY BILLS  |                              |   |   |
| 1.5 OTHERS   |                              |   |   |
| <b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b> |                              | <b>DATE OF BOARD RESOLUTION</b>               | <b>DATE OF STOCKHOLDERS RATIFICATION</b>      |
|  |                              |   |   |
| <b>3. TREASURY SHARES</b>  |                              | <b>NO. OF SHARES</b>                          | <b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b> |
|  |                              |   |   |
| <b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>                |                              |   |   |
| <b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>                                  |                              |   |   |
| <b>TYPE OF DIVIDEND</b>  | <b>AMOUNT (Php)</b>          |   | <b>DATE DECLARED</b>                          |
| 5.1 CASH   | 282,476,888.07               |   | December 2014                                 |
| 5.2 STOCK  |                              |   |   |
| 5.3 PROPERTY   |                              |   |   |
| <b>TOTAL</b>   | 282,476,888.07               |   |   |
| <b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>  |                              |   |   |
| <b>DATE</b>  | <b>NO. OF SHARES</b>         | <b>AMOUNT</b>                                 |   |
|  |                              |   |   |
|  |                              |   |   |
|  |                              |   |   |
|  |                              |   |   |
| <b>SECONDARY LICENSE/REGISTRATION WITH SEC AND/OR OTHER GOV'T AGENCY:</b>                            |                              |   |   |
| <b>NAME OF AGENCY:</b>   | <b>SEC</b>                   | <b>B S P</b>                                  | <b>I C</b>                                    |
| <b>TYPE OF LICENSE/REGN.</b>   |                              |   |   |
| <b>DATE ISSUED:</b>  |                              |   |   |
| <b>DATE STARTED OPERATIONS:</b>  |                              |   |   |
| <b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)</b>              | <b>TOTAL NO. OF OFFICERS</b> | <b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b> | <b>TOTAL MANPOWER COMPLEMENT</b>              |
|  |                              |   |   |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

## GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:

| SCHEDULE OF CAPITAL EXPENDITURE |              |                   |       |                |                    |     |  |
|---------------------------------|--------------|-------------------|-------|----------------|--------------------|-----|--|
| PARTICULARS                     | SECTOR       | FOREIGN COMPONENT |       |                | NO SUPPORT SUBSIDY |     |  |
|                                 |              | Loan              | Grant | Concession Fee | Project            | Tax |  |
|                                 |              |                   |       |                |                    |     |  |
|                                 |              |                   |       |                |                    |     |  |
|                                 |              |                   |       |                |                    |     |  |
|                                 | Other Source | Local Component   |       | Total Cost     | Status             |     |  |
|                                 |              |                   |       |                |                    |     |  |

# GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM

## INCOME STATEMENT

For the year : 2014

|   | Amount        |
|---|---------------|
| <b>INCOME</b>   |               |
| Business and Service Income   |               |
| Gains and Premiums  | 2,205,278,693 |
| Others  |               |
| Total Income  | 193,895,889   |
|   | 2,399,174,582 |
| Less: Share of National Government                                    |               |
| Income After Share of National Government                             | 2,399,174,582 |
| <b>EXPENSES</b>   |               |
| Business Expenses   |               |
| Personal Services   |               |
| Maintenance and Other Operating Expenses                              | 125,523,552   |
| Financial Expenses  | 149,796,506   |
| Others - Depreciation, Amortization and (Gain) loss on Foreign Exchan | 277,072,339   |
| Total Expenses  | 1,137,665,105 |
|   | 1,690,057,502 |
| Net Income (Loss) Before Subsidy                                      |               |
|   | 709,117,080   |
| Subsidy Income  |               |
|   | -             |
| Net Income (Loss) Before Tax  |               |
| Income Tax Expense  | 709,117,080   |
| Net Income (Loss) After Tax   |               |
|   |               |

## GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: **METROPOLITAN WATERWORKS AND SEWERAGE  
SYSTEM**

### CASH FLOW STATEMENT

For the year : 2014

| CASH FLOW FROM OPERATING ACTIVITIES                           | Amount          |
|---|-----------------|
| Cash Inflows  | 2,660,977,501   |
| Cash Outflows   | 311,052,117     |
| Net Cash Provided By (Used in) Operating Activities           | 2,349,925,384   |
|   |                 |
|   |                 |
| CASH FLOWS FROM INVESTING ACTIVITIES                          |                 |
| Cash Inflows  | 2,421,241       |
| Cash Outflows   | 145,712,711     |
| Net Cash Provided By (Used In) Investing Activities           | (143,291,470)   |
|   |                 |
|   |                 |
| CASH FLOWS FROM FINANCING ACTIVITIES                          |                 |
| Cash Inflows  | -               |
| Cash Outflows   | 2,155,177,916   |
| Net Cash Provided By (Used In) Financing Activities           | (2,155,177,916) |
|   |                 |
|   |                 |
| Net Increase (Decrease) in Cash and Cash Equivalents          | 51,455,998      |
|   |                 |
| Effects of Exchange Rate Changes on Cash and Cash Equivalents | 3,270           |
|   |                 |
| NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS          | 51,459,268      |
| Cash and Cash Equivalents, Beginning of Period                | 2,396,509,135   |
|   |                 |
| CASH AND CASH EQUIVALENTS AT END OF YEAR                      | 2,447,968,403   |

## GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:

For the year: 2014

### CASH AND INVESTMENT BALANCE REPORT

|  | AMOUNT        | TERM (No.<br>of Days) | RATE (Per Annum) | DATE  |          |
|--|---------------|-----------------------|------------------|-------|----------|
| I. Cash on Hand  | 544,000       |                       |                  | Issue | Maturity |
| II. Cash in Banks  | 120,958,000   |                       |                  |       |          |
| III. Net Deposits with BTr   |               |                       |                  |       |          |
| IV. Special Series<br>(Treasury Bills) with Bangko<br>Sentral (Identify those<br>under trust agreements) - Time Deposits | 2,326,466,000 |                       |                  |       |          |
| V. Special Series Placements<br>with BTr (Identify those under<br>trust agreements)                                      |               |                       |                  |       |          |
| VI. Investments in Other Securities  |               |                       |                  |       |          |
| VII. Others  |               |                       |                  |       |          |

# **GOVERNMENT CORPORATION INFORMATION SHEET**

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: METROPOLITAN WATERWORKS & SEWERAGE SYSTEM  
BALANCE SHEET (For the year: 2014)

| ASSETS   |  | Amount                |
|--|--|-----------------------|
| <b>Current Assets</b>                          |  |                       |
| Cash & Cash Equivalents                        |  | 2,447,968,403         |
| Short-Term Investments                         |  |                       |
| Receivables (net)                              |  | 574,222,060           |
| Inventories                                    |  |                       |
| Prepayments and Deferred Charges               |  | 311,975,414           |
| Gross International Reserves                   |  |                       |
| Others   |  | 5,831,572,135         |
| <b>Total Current Assets</b>                    |  | <b>9,165,738,012</b>  |
| <b>Non-Current Assets</b>                      |  |                       |
| Long -term Receivables (net)                   |  |                       |
| Long-term Investments (net)                    |  | 401,492,496           |
| Property, Plan & Equipment (net)               |  | 47,270,079,233        |
| Investment Property / Construction in Progress |  | 157,496,267           |
| Intangible Assets                              |  |                       |
| Others   |  | 1,468,199,958         |
| <b>Total Non-Current Assets</b>                |  | <b>49,297,267,954</b> |
| <b>TOTAL ASSETS</b>                            |  | <b>58,463,005,966</b> |
| LIABILITIES AND EQUITY                         |  |                       |
| <b>LIABILITIES</b>                             |  |                       |
| <b>Current Liabilities</b>                     |  |                       |
| Trade and Non-Trade Payables                   |  | 576,497,254           |
| Current Portion of Long-term Debt              |  | 449,569,799           |
| Deposit Liabilities                            |  |                       |
| Currency in Circulation                        |  |                       |
| Securities sold under agreements to repurchase |  |                       |
| Current Liabilities of Water Districts         |  |                       |
| Others   |  | 151,432,653           |
| <b>Total Current Liabilities</b>               |  | <b>1,177,499,706</b>  |
| <b>Non-Current Liabilities</b>                 |  |                       |
| Deposit Payables                               |  |                       |
| Bills Payable                                  |  |                       |
| Bonds Payable                                  |  |                       |
| Notes Payable                                  |  |                       |
| Mortgage Payable                               |  |                       |
| Loans and Advances Payable                     |  | 9,916,776,601         |
| Accrued Retirement Benefits                    |  |                       |
| Deferred Credits                               |  | 2,037,065,297         |
| Non-Current Liabilities of Water Districts     |  |                       |
| Others   |  |                       |
| <b>Non-Current Liabilities</b>                 |  | <b>11,953,841,898</b> |
| <b>TOTAL LIABILITIES</b>                       |  | <b>13,131,341,604</b> |
| <b>EQUITY</b>                                  |  |                       |
| Government Equity                              |  |                       |
| Capital Stock                                  |  | 6,095,486,784         |
| Restricted Capital                             |  |                       |
| Retained Earnings                              |  | 2,135,924,737         |
| Others   |  | 37,100,252,841        |
| <b>TOTAL EQUITY</b>                            |  | <b>45,331,664,362</b> |
| <b>TOTAL LIABILITIES AND EQUITY</b>            |  | <b>58,463,005,966</b> |



# GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:

| NET OPERATING INCOME                                    | Amount |
|---|--------|
| Schedule 1A   |        |
| Accounts receivable - national government               |        |
| Clients deposit   |        |
| Collection from advances on insured receivables         |        |
| Collection of loans receivables                         |        |
| Collection of pari-passu payables                       |        |
| Collection of receivables -- clients                    |        |
| Collection of receivables -- employees/others           |        |
| Collection of receivables -- clients, employees, others |        |
| Collection of Sales Contract Receivable                 |        |
| Dividend Income   |        |
| Foreign Exchange gains                                  |        |
| Gain on sale of Investment                              |        |
| Interest and penalties                                  |        |
| interest on investments and deposits                    |        |
| Lease income (office space)                             |        |
| Lease income from acquired assets                       |        |
| Lease payment receivable -- car plan                    |        |
| Miscellaneous deposit                                   |        |
| Misc inc-- service charge                               |        |
| Payable to clients/employees/govet agencies             |        |
| Payable to clients/employees/ others                    |        |
| Redemption of agrarian reform bonds                     |        |
| Reinsurance claims -- ECI                               |        |
| Reinsurance premium -- Trust liabilities                |        |
| Sale of transportation of equipment                     |        |
| Sale of unserviceable equipment                         |        |
|   |        |
| Add: (other Receipts)                                   |        |
|   |        |
| Total:  |        |
|   |        |
|   |        |
| TOTAL:  |        |
|   |        |

## GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:

### CHART OF ACCOUNTS

For the year : \_\_\_\_\_

|  |  |
|--|--|
| Net Operating Income                           |  |
| Sale of Acquired Assets                        |  |
| Collection of Advances on Guaranteed Loans     |  |
| Advances on Guaranteed Loans                   |  |
| Capital Expenditures                           |  |
| Dividend Payments                              |  |
| CASH SURPLUS/DEFICIT                           |  |
|  |  |
| <i>Operating Receipts</i>                      |  |
| Sale of goods/services                         |  |
| <i>Income from commitment and gtee fee</i>     |  |
| <i>Filing, processing &amp; amendment fees</i> |  |
| <i>Interest Income -- Direct lending</i>       |  |
| <i>Insurance Premium</i>                       |  |
| <i>Fund Arrangement Fees</i>                   |  |
|  |  |
| Add: (Other receipts)                          |  |
|  |  |
|  |  |
| TOTAL:   |  |
|  |  |
| Current Subsidies:                             |  |
|  |  |
| Other receipts: (Schedule 1A)                  |  |
|  |  |
| Total Receipts:                                |  |
|  |  |
| Less:  |  |
| Interest and Financial Charges                 |  |
| Final Withholding Tax                          |  |
| Prior period expenses                          |  |
| Other current expenditures                     |  |
|  |  |
|  |  |
| Total:   |  |
|  |  |

I, ATTY. MA. LOURDES R. NAZ, Corporate Secretary of the METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM

(NAME)

(POSITION)

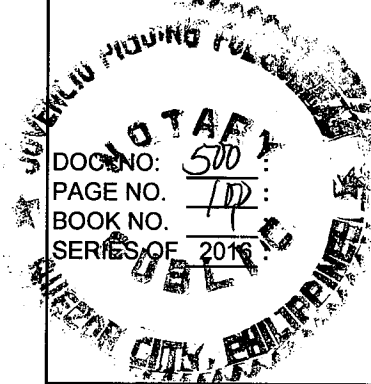
GOVERNMENT CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GOVERNMENT CORPORATION INFORMATION SHEET WHICH CONSISTS OF (17 ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 21st DAY OF January, 2016 IN Quezon City, Philippines.

*MA. LOURDES R. NAZ*  
MA. LOURDES R. NAZ  
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN Quezon CITY/PROVINCE, PHILIPPINES ON **JAN 22 2016**, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER TAX IDENTIFICATION NO. 104-583-361, ISSUED ON Oct. 15, 1991



NOTARY PUBLIC FOR

**ATTY. JOVENCIO P. FULGUERAS**

Notarial Commission No. **MY COMMISSION EXPIRES ON DEC. 31, 2017**

Commission expires on December 31, **1005120-2015-PASIG CITY**

Roll of Attorney Number **ROLL NO. 30370**

PTR No. \_\_\_\_\_

IBP No. **PTR NO. 1577-000-0 11/4/2015 Q.C.**

Office Address: **MCLE COMPLIANCE NO. V-000676 0**

**MWSS BLDG. W/ EASEMENT KATIPUNAN RD.**

**BALARA, QUEZON CITY**